

**DECLARATION**

As a below and named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: COMBINATORIAL ELECTROCHEMICAL DEPOSITION AND TESTING SYSTEM the specification of which x is attached hereto or      was filed on                      as Application No.                      and was amended on                      (if applicable).

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application(s)**

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119
			Yes <u>    </u> No <u>    </u>
			Yes <u>    </u> No <u>    </u>

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status
08/941,170	September 30, 1997	<u>    </u> Patented <u>x</u> Pending <u>    </u> Abandoned
		<u>    </u> Patented <u>    </u> Pending <u>    </u> Abandoned

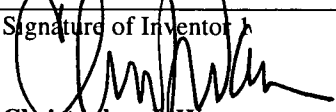
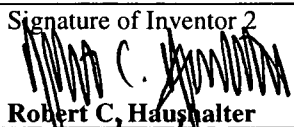
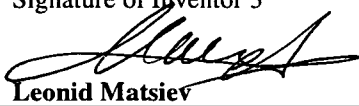
**Power of Attorney:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Ronald A. Krasnow, Reg. No. 33,321

09149187-022098  
360220-2876760

Full Name of Inventor 1	Last Name <b>Warren</b>	First Name <b>Christopher</b>	Middle Name or Initial <b>J.</b>	
Residence & Citizenship	City <b>Mountain View</b>	State/Foreign Country <b>California</b>	Country of Citizenship <b>United States</b>	
Post Office Address	Post Office Address <b>600 Rainbow Drive, #170</b>	City <b>Mountain View</b>	State/Country <b>California</b>	Zip Code <b>94041</b>
Full Name of Inventor 2	Last Name <b>Hausalter</b>	First Name <b>Robert</b>	Middle Name or Initial <b>C.</b>	
Residence & Citizenship	City <b>Los Gatos</b>	State/Foreign Country <b>California</b>	Country of Citizenship <b>United States</b>	
Post Office Address	Post Office Address <b>25259 Terrace Grove Road</b>	City <b>Los Gatos</b>	State/Country <b>California</b>	Zip Code <b>95033</b>
Full Name of Inventor 3	Last Name <b>Matsiev</b>	First Name <b>Leonid</b>	Middle Name or Initial	
Residence & Citizenship	City <b>Cupertino</b>	State/Foreign Country <b>California</b>	Country of Citizenship <b>Russia</b>	
Post Office Address	Post Office Address <b>10350 Leola Court, #1</b>	City <b>Cupertino</b>	State/Country <b>California</b>	Zip Code <b>95014</b>

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1 	Signature of Inventor 2 	Signature of Inventor 3 
Christopher J. Warren	Robert C. Hausalter	Leonid Matsiev
Date <b>7/16/98</b>	Date <b>7/20/98</b>	Date <b>07.16.98</b>

850220" 48T6T69

Express Mail Label No. EI768182393US

Attorney Docket No. 98-15

**POWER OF ATTORNEY BY ASSIGNEE**

SYMYX TECHNOLOGIES is the Assignee of the invention entitled:  
COMBINATORIAL ELECTROCHEMICAL DEPOSITION AND TESTING SYSTEM,  
the specification of which x is attached hereto or \_\_\_\_ was filed on \_\_\_\_\_  
as Application Serial No. \_\_\_\_\_.

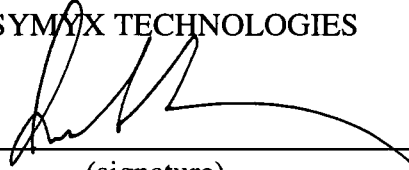
Assignee hereby appoints the following attorney(s) and/or agent(s) to prosecute  
this application and transact all business in the Patent and Trademark Office connected  
therewith.

Ronald A. Krasnow, Reg. No. 33,321

Send Correspondence to:  Ronald A. Krasnow Symyx Technologies 3100 Central Expressway Santa Clara, CA 95051	Direct Telephone Calls to: (Name, Reg. No., Tel. No.)  Ronald A. Krasnow Reg. No.: 33,321 (408) 330-2120
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SYMYX TECHNOLOGIES

Date: 7/20/98

By:   
(signature)

Name: Isy Goldwasser  
Title: President

091197-072098  
050220-2816160

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERNApplicant or Patentee: Christopher J. Warren, Robert C. Haushalter and Leonid Matsiev

Application or Patent No.: \_\_\_\_\_

Filed or Issued: Even Date HerewithTitle: COMBINATORIAL ELECTROCHEMICAL DEPOSITION AND TESTING SYSTEM

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN

Symyx Technologies

ADDRESS OF SMALL BUSINESS CONCERN

3100 Central Expressway

Santa Clara, California 95051

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare the rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled COMBINATORIAL ELECTROCHEMICAL DEPOSITION AND TESTING SYSTEM by inventors Christopher J. Warren, Robert C. Haushalter and Leonid Matsiev described in

☒ the specification filed herewith☐ Application No. \_\_\_\_\_, filed \_\_\_\_\_☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required for each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name: \_\_\_\_\_

Address: \_\_\_\_\_

☐ Individual☒ Small Business Concern☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

TITLE

ADDRESS OF PERSON SIGNING

Isy Goldwasser  
President

Symyx Technologies

3100 Central Expressway

Santa Clara, California 95051

SIGNATURE



DATE

5/20/98

**CERTIFICATE UNDER 37 C.F.R. § 3.73(b)**

Applicant: Christopher J. Warren, Robert C. Haushalter and Leonid Matsiev

Application No.: \_\_\_\_\_ Filed: Even Date Herewith

For: COMBINATORIAL ELECTROCHEMICAL DEPOSITION AND TESTING SYSTEM

Symyx Technologies, a corporation  
(Name of Assignee) (Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame(s) \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

DATE: July 20, 1998

NAME: Isy Goldwasser

TITLE: President

SIGNATURE: [Signature] 5/20/98

09119187-0209B